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U.S. Department of Justice

Washington, DC 20530

OMB No. 1124-0005; Expires April 30, 2017

Short Form Registration Statement Pursuant to the Foreign Agents Registration Act of 1938, as amended

INSTRUCTIONS. Each partner, officer, director, associate, employee, and agent of a registrant is required to file a short form registration statement unless he engages in no activities in furtherance of the interests of the registrant's foreign principal or unless the services he renders to the registrant are in a secretarial, clerical, or in a related or similar capacity. Compliance is accomplished by filing an electronic short form registration statement at http://www.fara.gov.

Privacy Act Statement. The filing of this document is required for the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 et seq., for the purposes of registration under the Act and public disclosure. Provision of the information requested is mandatory, and failure to provide the information is subject to the penalty and enforcement provisions established in Section 8 of the Act. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, copy of informational materials or other document or information filed with the Attorney General under this Act is a public record open to public examination, inspection and copying during the posted business hours of the Registration Unit in Washington, DC. Statements are also available online at the Registration Unit's webpage: http://www.fara.gov. One copy of every such document, other than informational materials, is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of any and all documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. The Attorney General also transmits a semi-annual report to Congress on the administration of the Act which lists the names of all agents registered under the Act and the foreign principals they represent. This report is available to the public and online at: http://www.fara.gov.

Public Reporting Burden. Public reporting burden for this collection of information is estimated to average .429 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, Registration Unit, Counterespionage Section, National Security Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

1. Name		2. Registration No.		
David H. Carroll, Jr.		5483		
David H. Carroll, Jr.		J 3403		
3. Residence Address(es)	<u> </u>	4. Business Address(es)		
1008 Winding Way		1 State Circle		
Baltimore, MD 21210		Annapolis, MD 21401	•	
5. Year of Birth 1970	1	If present citizenship was not acquired by birth, indicate when, and how acquired.		
NT-attan altan 116A				
Nationality USA		· · · · · · · · · · · · · · · · · · ·		
Present Citizenship USA				
7. Occupation Consultant				
9. Indicate your connection with			[T]	
partner	director	☐ employee	consultant	
	☐ associate	agent	⊠ subcontractor	
i other (specify)		***************************************		
10. List every foreign principal to Kingdom of Saudi Arabia	whom you will render services	in support of the primary r	egistrant.	
			pal(s) listed in Item 10 either directly, or e is insufficient, a full insert page must be	
used.)	•		ustice Against Sponsors of Terrorism Act	
•				

FORM NSD-6 Revised 03/14

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12. Do any o	of the above described services includ	e political activity as defined in Section 1(o) of	the Act and in the footnote below?
	Yes ⊠ No □		
If yes, de	scribe separately and in detail such p	olitical activity.	•
Describin	ig the unintended consequences th	at JASTA poses to US interests, including poter	ntial legal liabilities arising for US
military, i	intelligence, and diplomatic personi	nel.	
			And the second s
	ces described in Items 11 and 12 are	A SECTION OF THE PROPERTY OF T	Provent
Li I	ull time basis	part time basis	⊠ special basis
14. What com	npensation or thing of value have you	received to date or will you receive for the abo	ve services?
□s	alary: Amount \$per	Commission at	% of
	alary: Not based solely on services i		
□F	ee: Amount \$	☐ Other thing of value	
Date	nish the following information: Amount of Thing of Value	Political Organization or Candidate	Location of Event
* ***	· · · · · · · · · · · · · · · · · · ·	Van Hollen for Senate	Baltimore, MD
11/16	\$1000.00	Vari nameri for serence	Bootimus, MD
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	The second secon	30 150 (150 state of 150 state	
•		EXECUTION	
n accordance v	vith 28 U.S.C. § 1746, the undersign	ed swears or affirms under penalty of perjury th	at he/she has read the
nformation set	forth in this registration statement as se and accurate to the best of his/her	nd that he/she is familiar with the contents there	of and that such contents are in
ion challety ift	ic and accurate to the Dest of His/Her	anowieuge and belief.	•
	10/19/16	1 the Ca	
	(Date of signature)	(Signatur	e)

Footnote: "Political activity," as defined in Section 1(o) of the Act, means any activity which the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political

(Signature)